



*[Handwritten signature]*

Attorney Docket No. 04173.0446  
Customer Number 22,852

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Kazutaka AKIYAMA	)	Group Art Unit: 2826
Application No.: 10/807,274	)	Examiner: Leonardo ANDUJAR
Filed: March 24, 2004	)	Confirmation No. 3986
For: SEMICONDUCTOR DEVICE	)	
AND SEMICONDUCTOR	)	
DEVICE MANUFACTURING	)	
METHOD	)	

**MAIL STOP: OIPE**

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**PETITION TO WITHDRAW NOTICE OF ABANDONMENT  
AND REQUEST TO RE-MAIL OFFICE ACTION**

Applicant hereby petitions the Honorable Commissioner of Patents and Trademarks to withdraw the holding of abandonment set forth in the Notice of Abandonment mailed May 25, 2010, and to re-mail the Office Action, mailed November 27, 2009, and to restart the period for response.

**I. Case History**

On October 19, 2009, Applicant's firm received an Office communication mailed October 15, 2009. The October 15 Office communication included a copy of the form SB/08 for an Information Disclosure Statement filed May 21, 2009. On November 30, 2009, Applicant's firm received an Office communication mailed November 27, 2009. The November 27 Office communication included a copy of the form SB/08 for an Information Disclosure Statement filed May 21, 2009, indicating that the listed documents were considered by the Examiner on November 2, 2009. On December 21,

2009, Applicant's firm received another Office communication mailed December 17, 2009, the content of which was identical to the one mailed November 27, 2009. Thereafter, Applicant received a Notice of Abandonment mailed May 25, 2010, indicating that Applicant failed to file a timely reply to an Office Action mailed October 15, 2009.

A member of the support staff of Applicant's firm reviewed the file for this patent application and determined that the Office Action had never been placed in the file. Upon further review of the firm's records, she was unable to locate a copy of the Office Action or any entries for this Office Action mailed October 15 2009, in the logs and docket of the firm and made a request for a search of the databases of the firm to determine if the Office Action was ever received at the firm. The search of the databases confirmed that the Office Action mailed October 15, 2009, was never received.

Furthermore, it is noted that according to PAIR, the Mail Room Date of a Final Rejection is recorded as November 27, 2009, as shown in the Image File Wrapper of PAIR. The cover page of the Final Office Action in PAIR also indicates a mail date of November 27, 2009. Applicant's firm was unable to locate a copy of the Office Action or any entries for this Office Action mailed November 27, 2009, in the logs and docket of the firm and confirmed that the Office Action mailed November 27, 2009, was never received.

Applicant sets forth below, the information which shows that the Office Action mailed October 15 2009, or the Office Action mailed November 27, 2009, was never received by the undersigned firm.

## **II. Facts Showing Non-receipt of the Office Action**

At the undersigned law firm, all mail is received at a single mail room. Particularly, all documents from the PTO are hand carried from the mail room to a PTO correspondence specialist who date stamps the correspondence and enters it into a

computer database according to several types of information, including date, type of document received, our reference number and, in the case of patent documents, the serial number. Enclosed as Exhibit A is a copy of the Office communication for serial No. 10/807,274 (our reference 04173.0446) which was received by the mailroom of the undersigned firm on October 19, 2009. Enclosed as Exhibit B is a copy of the Office communication for serial No. 10/807,274 (our reference 04173.0446) which was received by the mailroom of the undersigned firm on November 30, 2009. As can be seen, an Office Action was not included in either of these Office communications.

Furthermore, enclosed as Exhibit C is a copy of the computerized daily log of all PTO mail received by the mailroom of the undersigned firm for the days of October 15, 2009, through October 22, 2009 (one week immediately following the apparent mailing of the Office Action on October 15, 2009). Similarly, enclosed also as Exhibit D is a copy of the computerized daily log of all PTO mail received by the mailroom of the undersigned firm for the days of November 27, 2009, through December 4, 2009 (one week immediately following the apparent mailing of the Office Action on November 27, 2009). As can be seen from a review of the log, no other Office communications for serial No. 10/807,274 (our reference 04173.0446) were received by Applicant's firm other than those stated above.

After correspondence is entered into the daily log of mail received, it is forwarded to the firm's docketing department, which enters three types of information into the firm's computer database: the internal reference number, the type of correspondence received, and the appropriate due dates. Enclosed as Exhibit E is a copy of the pertinent page of the firm's daily docket reminders taken from the "Master Docket" for all attorneys for January 15, 2010. The Firm enters the due date for a response three months from the date of the Office Action. Therefore, if the system contained a due date of January 15, 2010, for Case No. 04173.0446, corresponding to an Office Action mailed October 15, 2009, it would have been between the entries 04131.0184 and 04173.0530. Enclosed also as Exhibit F is a copy of the pertinent page of the firm's

daily docket reminders taken from the "Master Docket" for all attorneys for February 27, 2010. If the system contained a due date of February 27, 2010, for Case No. 04173.0446, for an Office Action mailed November 27, 2009, it would have been between the entries 04131.0196 and 04175.0056. As can be seen in Exhibit E and Exhibit F, however, there are no entries on January 15, 2010 or February 27, 2010, for any response or other paper due in case "04173.0446." Because the entries of interest would only have been on the page shown in Exhibit E and Exhibit F, pages before and after that page have not been included.

Also enclosed, as Exhibit G, is a copy of the complete docket for this case, reference number 04173.0446, which was printed out on June 9, 2010. If an Office Action had been received, there would have been a corresponding response entry showing that the Office Action was received for that date, as well as a response to Office Action Due entry and a Due Date. As can be seen from Exhibit G, however, there is no entry showing receipt of an Office Action mailed October 15, 2009 or November 27, 2009, nor is there any entry showing a due date of January 15, 2010 or February 27, 2010, for a response.

The undersigned has thoroughly searched the application file Serial Number 10/807,274, our reference 04173.0446, maintained by this firm, and no Office Action mailed October 15, 2009 or November 27, 2009, or any copy thereof, was found in that file. In fact, the undersigned had never seen the Office Action prior to it being retrieved from the PTO's website by support staff after notification by the Examiner and subsequent receipt of the Notice of Abandonment.

### **III. Request for Withdrawal**

Because neither the Office Action mailed October 15, 2009 or November 27, 2009, nor any copy thereof, was received for application Serial No. 10/807,274, no response was ever filed in the U.S. Patent and Trademark Office. Thus, it is

respectfully requested that the Commissioner withdraw the holding of abandonment and re-mail the Office Action as though no abandonment or lapse had ever occurred.

Accordingly, under the provisions of 37 C.F.R. § 1.181, Applicant requests reinstatement of application Serial No. 10/807,274, re-mailing of the outstanding Office Action, and restarting the period for a response.

It is believed that there are no fees due in connection with this Petition, however, if the Office determines that any fee is required for this matter, please charge the required fee to our deposit account 06-0916. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 15, 2010

By: *Richard V. Burgujian* Reg. # 57,127 *for*  
Richard V. Burgujian  
Reg. No. 31,744

RVB/SCP

Enclosures:

- Exhibit A: Office Communication received 10/19/09
- Exhibit B: Office Communication received 11/30/09
- Exhibit C: Report of PTO Mail 10/15/09 through 10/22/09
- Exhibit D: Report of PTO Mail 11/27/09 through 12/04/09
- Exhibit E: Master Docket excerpt for 1/15/10
- Exhibit F: Master Docket excerpt for 2/27/10
- Exhibit G: June 9, 2010, Docket for Case No. 04173.0446

# **EXHIBIT A**



## UNITED STATES PATENT AND TRADEMARK OFFICE

2VB-SCP-ALN  
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/807,274	03/24/2004	Kazutaka Akiyama	04173.0446	3986

22852 7590 10/15/2009  
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER  
LLP  
901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

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OCT 19 2009

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, LLP

EXAMINER

ANDUIAR, LEONARDO

ART UNIT PAPER NUMBER

2826

MAIL DATE DELIVERY MODE

10/15/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

**UNITED STATES DEPARTMENT OF COMMERCE****U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO/ CONTROL NO. 10807274	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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EXAMINER
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Leonardo Andújar

ART UNIT	PAPER
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2826

20090930

DATE MAILED:


Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Attached is the IDS filed on 05/21/2009.

/Leonardo Andújar/  
Primary Examiner, Art Unit 2826





IDS Form PTO/SB/08: Substitute for form 1449A/PTO - MAY 21 2009				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/807,274
				Filing Date	March 24, 2004
				First Named Inventor	Kazutaka AKIYAMA
				Art Unit	2826
				Examiner Name	Leonardo ANDUJAR
				Attorney Docket Number	04173.0445

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 10-209148	08-07-1998	SONY CORP.		Abstract
		JP 2002-270608	09-20-2002	FUJITSU LTD.		Abstract
		JP 11-054504	02-26-1999	SONY CORP.		Abstract

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Notification of Reasons for Rejection from the Japanese Patent Office, dated March 10, 2009 (3 pages).	Yes

Examiner Signature	/Leonardo Andujar/	Date Considered	10/01/2009
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# **EXHIBIT B**



UNITED STATES PATENT AND TRADEMARK OFFICE

PVB/SCP/ALN

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/807,274	03/24/2004	Kazutaka Akiyama	04173.0446	3986

22852 7590 11/27/2009  
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER  
LLP  
901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

**RECEIVED**  
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FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, LLP

EXAMINER

ANDUJAR, LEONARDO

ART UNIT PAPER NUMBER

2826

MAIL DATE DELIVERY MODE

11/27/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

DKTD 12/01/09

**UNITED STATES DEPARTMENT OF COMMERCE****U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10807274	3/24/2004	AKIYAMA, KAZUTAKA	04173.0446

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER  
LLP  
901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

**EXAMINER**

Leonardo Andújar

**ART UNIT****PAPER**

2826

20091102

**DATE MAILED:**

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner for Patents**

Attached is the IDS filed on 05/21/2009.

/Leonardo Andújar/  
Primary Examiner, Art Unit 2826

IDS Form PTO/SB/08: Substitute for form 1449A/PTO - MAY 21 2009				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/807,274
				Filing Date	March 24, 2004
				First Named Inventor	Kazutaka AKIYAMA
				Art Unit	2826
				Examiner Name	Leonardo ANDUJAR
				Attorney Docket Number	04173.0446
Sheet	1	of	1		

[illegible]

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 10-209148	08-07-1998	SONY CORP.		Abstract
		JP 2002-270608	09-20-2002	FUJITSU LTD.		Abstract
		JP 11-054504	02-26-1999	SONY CORP.		Abstract

NONPATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>a</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>a</sup>
		Notification of Reasons for Rejection from the Japanese Patent Office, dated March 10, 2009 (3 pages).	Yes

Examiner Signature	/Leonardo Andujar/	Date Considered	11/02/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.A./

# **EXHIBIT C**

# PTO Mail Search

Criteria 10/15/2009 To 10/22/2009

FHFGD Ref	Date In	Doc. Type	Patent No	Opp./Interference	Serial No	Client Ref	Resp. Time	User
10761-1414.00000	10/15/2009 8:30:50 AM	INTERVIEW SUMMARY			10/429,014	D01-086/01414-		mcnallii
10761-1539.00000	10/15/2009 8:31:07 AM	ADVISORY ACTION			10/862,935	D03-048/01539-		mcnallii
10761-1394.00000	10/15/2009 8:31:36 AM	OFFICE ACTION SUMMARY			10/401,045	D01-154-4/0139	3 Months	mcnallii
08201-0061.02000	10/15/2009 8:32:12 AM	ISSUE NOTICE			11/765,563			mcnallii
04208-0245.00000	10/15/2009 8:58:05 AM	IDS ACKNOWLEDGEMENT			10/594,317	PF18638/AO		frazierk
09519-0052.00000	10/15/2009 8:59:47 AM	OFFICE ACTION SUMMARY			11/152,259		3 Months	frazierk
01165-0975.00000	10/15/2009 8:59:56 AM	SUPPLEMENTAL NOTICE OF ALLOWABILITY			11/666,682	HWA, KGN-R6		frazierk
	10/15/2009 9:00:05 AM	TRADEMARK TRIAL AND APPEAL BOARD		91173417				frazierk
09700-0162.00000	10/15/2009 9:00:15 AM	OFFICE ACTION SUMMARY			11/320,733	.2005P00637US	3 Months	frazierk
10988-0025.00000	10/15/2009 9:00:29 AM	NOTICE OF ALLOWANCE AND FEE(S) DUE			29/326,735			frazierk
07043-0034.00000	10/15/2009 9:00:38 AM	CERTIFICATE OF CORRECTION RECEIVED	7,404,991		10/472,849	EL02013AFH		frazierk
02860-1114.00000	10/15/2009 9:00:47 AM	OFFICE ACTION SUMMARY			11/586,675	P7870-001-0000	3 Months	frazierk
04329-3263.01000	10/15/2009 9:00:55 AM	OFFICE ACTION SUMMARY			11/519,097		3 Months	frazierk
10676-0007.01000	10/15/2009 9:01:06 AM	OFFICE ACTION SUMMARY			12/341,113	MP08-051	1 Month	frazierk
09952-0488.00000	10/15/2009 9:01:23 AM	NOTICE OF ACCEPTANCE OF APPLICATION			12/448,616	TEL1138.US.01		frazierk
08020-0004.00000	10/15/2009 9:01:32 AM	ADVISORY ACTION			10/846,116	2003P00891US;		frazierk
06530-0288.00000	10/15/2009 9:01:40 AM	ADVISORY ACTION			10/428,801	01-429		frazierk
10547-0006.00000	10/15/2009 9:01:47 AM	NOTICE OF INCOMPLETE REPLY			12/502,474	IN10909US		frazierk
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08350-5455.00000	10/15/2009 9:02:04 AM	INTERVIEW SUMMARY			11/315,364	05-455; K. Earle		frazierk

FHFGD Ref	Date In	Doc. Type	Patent No	Opp./Interference	Serial No	Client Ref	Resp. Time	User
04173-0390.00000	10/15/2009 9:02:12 AM	MAINTENANCE FEE STATEMENT	6,365,979		09/262,741	FTK9901-US-A		frazierk
04329-2339.01000	10/15/2009 9:02:19 AM	MAINTENANCE FEE STATEMENT	7,022,580		10/222,813	T7TA-00S0411-		frazierk
04329-2759.00000	10/15/2009 9:02:29 AM	MAINTENANCE FEE STATEMENT	7,024,017		10/091,535	T2TT-01S0807		frazierk
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07451-0050.11000	10/15/2009 9:04:06 AM	UPDATED FILING RECEIPT			12/459,491	IT-56.1.11		frazierk
05725-1333.01000	10/15/2009 9:04:13 AM	UPDATED FILING RECEIPT			12/407,359			frazierk
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04805-0274.00000	10/15/2009 9:05:08 AM	PATENT			11/645,530	PPW06-146DS		frazierk
09812-0666.00000	10/15/2009 9:05:19 AM	PATENT			11/370,051	S06P0271 US00		frazierk



FHFGD Ref	Date In	Doc. Type	Patent No	Opp./Interference	Serial No	Client Ref	Resp. Time	User
02860-1147.00000	10/15/2009 8:32:52 AM	NOTICE OF ALLOWANCE AND FEE(S) DUE			11/712,920	P8083-001-0000		mcnallii
10761-1544.01000	10/15/2009 9:05:30 AM	PATENT			11/019,289	D03-052/01544-		frazierk
11009-0001.00000	10/15/2009 9:05:40 AM	OFFICE ACTION SUMMARY			10/662,145		3 Months	mcnallii
08585-0018.00000	10/15/2009 9:05:42 AM	PATENT			11/384,517	AI-0547/05US		frazierk
10761-0310.00000	10/15/2009 9:05:53 AM	PATENT			09/558,920	P1063/00310-00		frazierk
09605-0014.00000	10/15/2009 9:05:53 AM	NOTICE OF ALLOWANCE AND FEE(S) DUE			10/544,360	COX2-Sulphox-		mcnallii
09812-0666.00000	10/15/2009 9:06:06 AM	SOFT COPIES			11/370,051	S06P0271 US00		frazierk
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10024-0011.00000	10/15/2009 9:06:22 AM	SOFT COPIES			11/320,901	A2004-B1487U		frazierk
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04329-3574.00000	10/15/2009 9:06:32 AM	SOFT COPIES			11/151,289	T2MN-04S1968		frazierk
08350-6105.00000	10/15/2009 9:06:37 AM	NOTICE OF ABANDONMENT			11/495,656	06-105; S. LUN		mcnallii
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08244-0066.01000	10/15/2009 9:07:25 AM	NOTICE REGARDING CHANGE OF POA			12/359,811	P05H6003D/US		mcnallii
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	10/15/2009 9:08:01 AM	TTAB COMMUNICATION		91189748				mcnallii
04329-3600.00000	10/15/2009 9:08:20 AM	NOTICE OF ABANDONMENT			11/187,997	T1TO-04S1096-		mcnallii
09707-0002.00000	10/15/2009 9:08:35 AM	NOTICE OF ABANDONMENT			10/545,234	PCT/732/SS		mcnallii
08201-0061.02000	10/15/2009 9:08:48 AM	ISSUE NOTIFICATION			11/765,563			mcnallii
08702-0219.01000	10/15/2009 9:09:00 AM	OFFICE ACTION SUMMARY			12/508,618	AM101893 DIV	30 Days	mcnallii

FHFGD Ref	Date In	Doc. Type	Patent No	Opp./Interference	Serial No	Client Ref	Resp. Time	User
04853-0117.00000	10/15/2009 8:31:20 AM	CERTIFICATE OF CORRECTION ACKD	7,514,240		10/503,486	PH-1639PCT-U		hardyd
09700-0086.00000	10/15/2009 9:09:24 AM	LETTERS PATENT			11/017,291	2004P00219 US		mcmillii
09812-1077.00000	10/15/2009 9:10:41 AM	LETTERS PATENT			11/873,080	S07P1484US00		mcmillii
03495-0364.02000	10/15/2009 9:10:56 AM	LETTERS PATENT			10/342,232			mcmillii
02860-0808.00000	10/15/2009 9:11:10 AM	LETTERS PATENT			10/885,038	P6519-001-0000		mcmillii
02860-1055.00000	10/15/2009 9:11:24 AM	LETTERS PATENT			11/410,134	P7665-001-0000		mcmillii
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08244-0057.00000	10/19/2009 10:05:47 AM	NOTICE REGARDING CHANGE OF POA	7,214,584		11/149,297	POH1097/US/sy		hardyd
01142-0466.00304	10/19/2009 10:05:48 AM	PCT NOTIFICATION			PCT/US09/0533			browns
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10836-0001.00000	10/19/2009 10:06:19 AM	TTAB COMMUNICATION			77/341,906			browns
08244-0052.00000	10/19/2009 10:06:29 AM	NOTICE REGARDING CHANGE OF POA	7,579,664		11/123,189	P05H1092/US/s		hardyd

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08020-0069.00000	10/19/2009 10:06:48 AM	NOTICE OF RECORDATION			12/461,425	2009P00098 US		browns
08244-0094.00000	10/19/2009 10:07:02 AM	NOTICE REGARDING CHANGE OF POA			11/646,288	P06H5021/US/I		hardyd
	10/19/2009 10:07:14 AM	EX PARTE REEXAMINATION COMMUNICATION			90/009,004			browns
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01261-0036.00000	10/19/2009 10:07:32 AM	NOTICE REGARDING CHANGE OF POA	7,563,708		11/819,857	2007-OPH-3620		hardyd
08244-0083.00000	10/19/2009 10:07:50 AM	NOTICE REGARDING CHANGE OF POA			11/452,407	P06H1027/US/S		hardyd
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08244-0095.01000	10/19/2009 10:08:23 AM	NOTICE REGARDING CHANGE OF POA			12/458,059	P06H5020D/US		hardyd
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01261-0045.00000	10/19/2009 10:08:41 AM	NOTICE REGARDING CHANGE OF POA			11/976,578	2007-OPH-3731		hardyd
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04632-0122.00000	10/19/2009 10:09:33 AM	NOTICE OF ACCEPTANCE OF APPLICATION			12/301,503	5193-1		hardyd
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07526-0103.00000	10/19/2009 10:10:06 AM	CERTIFICATE OF REGISTRATION	3,696,139		79/062,221	E9712A AS/mb		browns
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09812-0934.00000	10/19/2009 10:11:02 AM	OFFICE ACTION SUMMARY			11/715,928	S07P0388US00	3 Months	mcmillii
06753-0628.00000	10/19/2009 10:11:12 AM	OFFICE ACTION SUMMARY			11/661,747	JY2-980-PCT-U	3 Months	mcmillii
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10949-0005.00000	10/19/2009 10:11:22 AM	OFFICE ACTION SUMMARY			10/848,054		3 Months	mcmillii
10617-0003.00000	10/19/2009 10:11:29 AM	NOTICE OF ALLOWANCE AND FEE(S) DUE			11/428,635	2005P11985us0		hardyd
01198-0303.00000	10/19/2009 10:11:09 AM	CERTIFICATE OF CORRECTION RECEIVED	7,571,958		11/808,892	W12074		browns
05905-0111.01000	10/19/2009 10:11:38 AM	OFFICE ACTION SUMMARY			10/823,681	S007-P03-P060-	3 Months	mcmillii
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10539-0002.00000	10/19/2009 10:12:29 AM	NOTICE OF ALLOWANCE AND FEE(S) DUE			11/095,606			mcmillii
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04329-3992.00000	10/19/2009 10:13:02 AM	OFFICE ACTION SUMMARY			11/529,333	T2TY-05S2008	3 Months	mcmlili
04173-0529.00000	10/19/2009 10:13:22 AM	OFFICE ACTION SUMMARY			11/645,508	6BG043621-US	3 Months	mcmlili
01198-0318.00000	10/19/2009 10:13:19 AM	FILING RECEIPT			12/585,742	W13112		hardyd
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02887-0518.00000	10/19/2009 10:15:59 AM	OFFICE ACTION SUMMARY			12/104,138	173477US	3 Months	browns
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02860-1056.00000	10/19/2009 10:18:08 AM	OFFICE ACTION SUMMARY			11/410,164	P7591-001-0000	3 Months	mcmillii
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09952-0075.00000	10/19/2009 10:26:03 AM	OFFICE ACTION SUMMARY			10/594,310	TEL0917.US.01	2 Months	hardyd
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09741-0010.00000	10/19/2009 10:26:19 AM	OFFICE ACTION SUMMARY			11/990,162	22981-16	3 Months	hardyd
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10196-0014.00000	10/19/2009 10:27:49 AM	OFFICE ACTION SUMMARY			10/821,657	G-237-US	3 Months	hardyd
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09812-1804.00000	12/4/2009 10:42:03 AM	FILING RECEIPT			12/620,419	S09P1903US00		memilli
09812-1804.00000	12/4/2009 10:42:27 AM	NOTICE TO FILE CORRECTED APPLICATION PAPERS			12/620,419	S09P1903US00		memilli
09812-1805.00000	12/4/2009 10:42:40 AM	FILING RECEIPT			12/620,456	S09P1907US00		memilli
09812-1805.00000	12/4/2009 10:42:55 AM	NOTICE TO FILE CORRECTED APPLICATION PAPERS			12/620,456	S09P1907US00		memilli
05997-0058.00000	12/4/2009 10:43:07 AM	FILING RECEIPT			12/621,065			memilli
10909-0003.00000	12/4/2009 10:43:23 AM	FILING RECEIPT			12/306,487			memilli
10909-0003.00000	12/4/2009 10:43:39 AM	NOTICE OF ACCEPTANCE			12/306,487			memilli
10346-6015.00000	12/4/2009 10:43:50 AM	FILING RECEIPT			61/261,506			memilli
08203-0032.03000	12/4/2009 10:44:04 AM	UPDATED FILING RECEIPT			12/426,438			memilli
05905-0308.00000	12/4/2009 10:44:27 AM	FILING RECEIPT			12/300,973	N0419 VP01/PC		memilli
08940-6226.00000	12/4/2009 10:44:35 AM	FILING RECEIPT			61/261,291			memilli
09812-0396.00000	12/4/2009 10:44:49 AM	SOFT COPIES	7,627,825		10/509,557	S04P0133 US00		memilli
10011-6000.00000	12/4/2009 10:45:46 AM	CERT COPIES			61/193,424			memilli

**Total Records: 1002**

# EXHIBIT E

Thursday, December 31, 2009

## Due Date List By Date

Page: 206

01-Jan-2010 to 31-Jan-2010

Due Date	Action Due / Client	Case Number/SubCase/Country	Attorneys	Action Remarks
15-Jan-2010	Election-3 ext	02860-1190 / 00 / 000	DWH BBC	Due Date
	<i>Number:</i> 11/793,312			
	KONICA MINOLTA TECHNOSEARCH CO, LTD.		United States of America	
	<i>Title:</i> Actinic Ray Curable Composition, Actinic Ray Curable Ink, Image Forming Method Utilizing the Same, Ink-Jet Recording Apparatus and Epoxy Compounds			
	<i>Remarks:</i> 11/06/09 fax fr client w/instr to abandon; awaiting close file memo 10/09/09 fax fr client w/instr to stop prosecution; awaiting definitive instr to abandon appln			
15-Jan-2010	Divisional due	02860-1261 / 00 / 000	DWH	Final
	<i>Number:</i> 11/925,430			
	KONICA MINOLTA TECHNOSEARCH CO, LTD.		United States of America	
	<i>Title:</i> Light Sensitive Planographic Printing Plate Material and Manufacturing Process of Printing Plate Employing the Same			
	<i>Remarks:</i> Possible Divisional due Response to restriction efiled 10/15/09			
15-Jan-2010	Response due	02860-1280 / 00 / 000	DWH RMH	Due Date
	<i>Number:</i> 11/953,740			
	KONICA MINOLTA TECHNOSEARCH CO, LTD.		United States of America	
	<i>Title:</i> Inkjet Recording Apparatus			
	<i>Remarks:</i> 12/21/09 Client fax w/instructions to prepare and file an amendment by 1/15/10			
15-Jan-2010	Response due	03495-0028 / 01 / 000	JBF CEV	Due Date
	<i>Number:</i> 11/925,922			
	OTSUKA PHARMACEUTICALS		United States of America	
	<i>Title:</i> PHARMACEUTICAL COMPOSITIONS COMPRISING A MULTIFUNCTIONAL PHOSPHODIESTERASE INHIBITOR AND AN ADENOSINE UPTAKE INHIBITOR			
	<i>Remarks:</i> 12/9/09 - Clt letter w/ comments and instr to provide draft resp			
15-Jan-2010	Resp - 1st ext	03495-0374 / 03 / 000	KJM AMB	Due Date
	<i>Number:</i> 10/926,270			
	INSTITUT PASTEUR		United States of America	
	<i>Title:</i> H. PYLORI FIBA POLYPEPTIDES, IMMUNOGENIC AND VACCINATING COMPOSITIONS COMPRISING H. PYLORI FIBA POLYPEPTIDES, AND COMPOSITIONS FOR DETECTION OF AN INFECTION COMPRISING H. PYLORI FIBA POLYPEPTIDES			
	<i>Remarks:</i> Draft amend/resp sent to client 12-9-09			
15-Jan-2010	Divisional due	04131-0184 / 00 / 000	ASG CEV	Final
	<i>Number:</i> 11/475,962			
	ST. ISLAND INTELLECTUAL PROPERTY CO., LTD.		United States of America	
	<i>Title:</i> Second Order Nonlinear Optical Polyimides Having Benzobisthiazole-Based Pendant Groups, and Preparation of the Same			
	<i>Remarks:</i> Possible Divisional due Issue fee due 1/15/2010			
15-Jan-2010	Issue Fee Due	04131-0184 / 00 / 000	ASG CEV	Final
	<i>Number:</i> 11/475,962		PID	
	ST. ISLAND INTELLECTUAL PROPERTY CO., LTD.		United States of America	
	<i>Title:</i> Second Order Nonlinear Optical Polyimides Having Benzobisthiazole-Based Pendant Groups, and Preparation of the Same			
	<i>Remarks:</i> Issue Fee & Publication fee (and Req/Reconsideration of adjustment, if necessary) due 1/15/2010 Patent term adjusted by 229 days. 12/22/09 Fax w/instr. to pay issue & pub. fees 12/15/09 Fax w/instr to pay issue & pub. fees 11/9/09 Client fax re invoices			



01-Jan-2010 To 31-Jan-2010

Due Date	Action Due / Client	Case Number/SubCase/Country	Attorneys	Action Remarks
15-Jan-2010	Response due	04173-0530 / 00 / 000 <i>Number: 11/645,507</i>	RVB SCP ALN United States of America	Due Date
	SAKURA PATENT OFFICE <i>Title:</i> Method for removing a crack in an electromechanical rotor, electromechanical rotor and rotary electrical machine			
15-Jan-2010	Response due	04173-0542 / 00 / 000 <i>Number: 11/878,020</i>	RVB SCP ALN United States of America	Due Date
	SAKURA PATENT OFFICE <i>Title:</i> Manufacturing Method of Semiconductor Device			
15-Jan-2010	Benefit of priority	04208-0290 / 00 / 000 <i>Number: 12/560,065</i>	JMR JCT JDP United States of America	Final
	TANI & ABE <i>Title:</i> High-Speed Transmission Connector, Plug For High-Speed Transmission Connector, And Socket For High-Speed Transmission Connector <i>Remarks:</i> Appln effiled 09/15/09 Check to see if Benefit of Priority or earlier U.S. or foreign case is claimed or established within 4 months from filing			
15-Jan-2010	Response due	04329-3533 / 01 / 000 <i>Number: 12/071,235</i>	RVB RJN United States of America	Due Date
	SUZUYE & SUZUYE <i>Title:</i> Substrate Processing Method, Substrate Processing Apparatus, and Semiconductor Device Manufacturing Method <i>Remarks:</i> 12/16/09 e-mail w/client instr to prepare & file response by 1/15/10			
15-Jan-2010	N/appeal-1 ext	04329-3680 / 00 / 000 <i>Number: 11/251,859</i>	RVB ANB United States of America	Due Date
	SUZUYE & SUZUYE <i>Title:</i> Anonymous Order System, An Anonymous Order Apparatus, and a Program Therefor <i>Remarks:</i> Amendment after final filed 12/15/09			
15-Jan-2010	Response due	04329-3988 / 00 / 000 <i>Number: 11/526,727</i>	RVB BBC United States of America	Due Date
	SUZUYE & SUZUYE <i>Title:</i> Method of Manufacturing Semiconductor Device <i>Remarks:</i> 12/9/09 E-mail w/client instr to prepare & file response by 1/15/10			
15-Jan-2010	Response due	04329-3992 / 00 / 000 <i>Number: 11/529,333</i>	RVB YAX United States of America	Due Date
	SUZUYE & SUZUYE <i>Title:</i> Communication Apparatus, System for Transmitting and Receiving Content, and Method for Managing Content List of the Communication Apparatus			
15-Jan-2010	Response due	04329-4143 / 00 / 000 <i>Number: 11/723,670</i>	RVB JDS United States of America	Due Date
	SUZUYE & SUZUYE <i>Title:</i> Storage cluster system, data processing method, and program			

# **EXHIBIT F**

Monday, February 01, 2010	Due Date List By Date	Page: 398
01-Feb-2010	To: 28-Feb-2010	

Due Date	Action Due / Client	Case Number/SubCase/Country	Attorneys	Action Remarks
27-Feb-2010	1-mo elec.due	01975-0051 / 03 / 000	MCB JRG	Due Date
	<i>Number:</i> 12/203,113		LAT	
	SOLVAY DUPHAR DUPH		United States of America	
	<i>Title:</i> 1H-Imidazole Derivatives having CB1, Agonistic, CB1 Partial Agonistic or CB1 Antagonistic Activity			
27-Feb-2010	Response due	02734-0491 / 00 / 131	LAJ RCS JAF	Final
	<i>Number:</i> 2385485		EMF	
	GEORGIA PACIFIC CORPORATION		Canada	
	<i>Title:</i> USE OF HYDROPHOBICALLY MODIFIED POLYAMINAMIDES WITH POLYETHYLENE GLYCOL ESTERS IN PAPER PRODUCTS			
	<i>Remarks:</i> Foreign Office Action dated 08/27/09 Response (Re: Office Action) Due 02/27/10 # (no exts) Draft instructions for Foreign Counsel were sent to client on JANUARY 22nd. - S. Goodlette			
27-Feb-2010	Final resp due	02814-0083 / 00 / 000	ASG	Due Date
	<i>Number:</i> 10/548,104			
	D.M. KISCH INCORPORATED		United States of America	
	<i>Title:</i> Plant Protective Cover			
27-Feb-2010	Final resp due	02886-0106 / 00 / 000	AMG HCl	Due Date
	<i>Number:</i> 11/883,444		MAS	
	KYORITSU PATENT OFFICE		United States of America	
	<i>Title:</i> Catalyst for Purifying Exhaust Gases and Exhaust-Gas Purification Controller Using the Same			
	<i>Remarks:</i> 12/21/09 fax w/client instr to send comments before 12/24/09 Comments sent to client 12/22/09			
27-Feb-2010	N/appeal-1 ext	02887-0556 / 00 / 000	RVB BBC	Due Date
	<i>Number:</i> 12/257,221		ALN	
	KYOWA PATENT AND LAW OFFICE		United States of America	
	<i>Title:</i> Pattern Forming Method			
	<i>Remarks:</i> Amend after final filed 12/22/09 Advisory Action dtd 1/13/10 1/27/10 Fax - client acknow comments letter sent to client 1/26/10 with comments on Advisory Action			
27-Feb-2010	Final resp due	04131-0196 / 00 / 000	ASG	Due Date
	<i>Number:</i> 11/797,993			
	ST. ISLAND INTELLECTUAL PROPERTY CO., LTD.		United States of America	
	<i>Title:</i> Diamine and Polyamic Acid Derived Therefrom for Liquid Crystal Orientation Applications			
27-Feb-2010	Final resp due	04175-0056 / 00 / 000	DWH PMG	Due Date
	<i>Number:</i> 10/784,205			
	SUZUKI INTERNATIONAL PAT. OFF.		United States of America	
	<i>Title:</i> Image Forming System			
27-Feb-2010	Final resp due	04329-3685 / 00 / 000	RVB JDS	Due Date
	<i>Number:</i> 11/260,265			
	SUZUYE & SUZUYE		United States of America	
	<i>Title:</i> Information Processing Apparatus That Receives Broadcast Program Data			

# **EXHIBIT G**

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## Country Application

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Wednesday, June 09, 2010

Page: 1

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Case Number: 04173-0446	Country: 000	SubCase: 00
Client: SAKURA PATENT OFFICE	United States of America	
Case Type: EPC	Application Status: REJECTED	
Application Number: 10/807,274	Filing Date: 24-Mar-2004	
Patent Number:	Issue Date:	
Publication Number: US-2005-0116333-A1	Publication Date: 02-Jun-2005	
Parent/PCT Number:	Parent/PCT Date :	
Parent Issue Number:	Parent Issue Date :	
Confirmation #: 3986	Expiration/Abandoned Date:	
Group Art Unit: 2826	Priority Date: 27-Mar-2003	
Tax Schedule: LE	Patent Term Adjustment: 0	
	Client Handles Annuity: <input type="checkbox"/>	
Attorney(s): RVB	SCP	ALN
Assignee: KABUSHIKI KAISHA TOSHIBA		
Client Reference: FTK0306-US-A		
Agent:	Agent Reference #:	

### Assignment Record:

Title: Semiconductor device and semiconductor device manufacturing method

Remarks: Docket Divisional when case allowed

Family Reference:

# Country Application

Wednesday, June 09, 2010

Page: 2

Case Number: 04173-0446

Country: 000

SubCase: 00

Client: SAKURA PATENT OFFICE

United States of America

## List Of Actions

Action(s) Due	Due Date	Action Taken
Action Type: N/ABANDONMENT		Base Date: 25-May-2010
Attorney(s): RVB	SCP ALN	
Advise dktg	01-Jun-2010	Reminder
Callup-respond	08-Jun-2010	Reminder
Callup	25-Jun-2010	Reminder
Callup	10-Jul-2010	Reminder
Petition due	25-Jul-2010	Final

Action Remarks: Petition due 7/25/10  
Notice of Abandonment dated 5/25/10

UserID: STEWARTW      Date Created: 27-May-2010      Last Update: 27-May-2010

Action Type: US-3MON. ACTION      Base Date: 16-Apr-2009

Attorney(s): RVB      SCP      ALN

US-3MON. ACTION Follow Up Date      16-Jun-2010      FollowUp

Action Remarks: Amendment After Non-Final OA efiled 07/16/09  
Updated 3/18/2010

UserID: DITOTOC      Date Created: 20-Apr-2009      Last Update: 18-Mar-2010

User ID: cookjc

Date Created: 18-Mar-2004

Last Update: 09-Sep-2009